



Privacy Pledge

for current and prospective clients of

Morrison Financial Services, Ltd. & Morrison Investments, Inc.

Morrison Financial Services, Ltd., an independent tax, financial planning and Illinois Registered Investment Advisory firm, and Morrison Investments, Inc., an independent FINRA investment broker-dealer, together referred to as the “firm”, are committed to safeguarding the confidential information of its clients. We hold all personal records and information provided to our firm in the strictest confidence. This standard is applied to everything we know about your financial situation in connection with the services provided by our firm. We will never disclose information to nonaffiliated third parties, except as required by law, and do not anticipate doing so in the future. If we were to anticipate a change in our policy, we would be prohibited under the law from doing so without advising you first. Your personal financial information is used strictly to assist us in helping you meet your personal financial goals while guarding against any real or perceived infringements of your rights of privacy. Our policy with respect to personal information about each individual client is listed below:

- Access to personal information is limited to those employees who have a business or professional reason for knowing, and only to non-affiliated parties as permitted by law. (For example, federal regulations permit and sometimes require us to share a limited amount of information about you with a brokerage firm in order to execute a security transaction on your behalf, or so our firm can discuss your financial situation with a tax advisor or attorney.)
- We maintain a secure office, storage, and computer environment to ensure your information is not placed at unreasonable risk.
- The categories of nonpublic personal information we collect from a client depend upon the scope of the engagement. It will include information about your personal finances, information about your health to the extent that it is needed for the planning process, and information about transactions between you and third parties.
- For unaffiliated third parties that require access to your personal information, including financial service companies and auditors, we require strict confidentiality in our agreements with them and expect them to keep this information private. Federal and state regulators also may review firm records as permitted by law.
- The law allows you to “opt out” of certain kinds of information sharing with third parties. We do not share your personally identifiable information to mailing list vendors or solicitors of any kind. This means **YOU ARE ALREADY OPTED-OUT**.
- Personally identifiable information about you will be maintained during the time you are a client, and for the time thereafter that such records are required to be maintained by federal and state security and tax laws, and consistent with the CFP Board Code of Ethics and Professional Responsibility. After this required period of record retention, all such information will be shredded.